## HW 2 - CORRECTION

## 1 Homework 2

1. Let  $A_q(n, d)$  be the largest k such that a code over alphabet  $\{1, \ldots, q\}$  of block length n, dimension k and minimum distance d exists (recall that this corresponds to the notation  $(n, k, d)_q$ ). Determine  $A_2(3, d)$  for all integers  $d \ge 1$ .

*A*: We know that  $\forall [n, k, d]_q$  – code, we have:

$$k \le n - \log_q \left( \sum_{i=1}^{\lfloor \frac{d-1}{2} \rfloor} {n \choose i} (q-1)^i \right)$$

- Since n = 3, for d > 3, we have A<sub>2</sub>(3, d) = 0 (cannot have two words with 3 bits but having Hamming distance d > 3).
- For d = 1, we have  $k \le 3$ , and we can achieve the equality by taking  $C = \{0, 1\}^3$ , so we can encode all words with Hamming distance 1, and  $A_2(3, 1) = 3$ .
- For d = 2, we have k ≤ 3, but k ≠ 3 because we cannot encode all 3-bits codewords with Hamming distance 2. But we can achieve k = 2 by taking C = {000,011,101,110}. So, A<sub>2</sub>(3,2).
- For d = 3, then  $k \le 1$ , and it is achievable by taking  $C = \{000, 111\}$ , so  $A_2(3, 3) = 1$ .
- 2. By constructing the columns of a parity check matrix in a greedy fashion, show that there exists a binary linear code  $[n, k, d]_2$  provided that

$$2^{n-k} > 1 + \binom{n-1}{1} + \dots + \binom{n-1}{d-2}.$$
 (1)

This is a small improvement compared to the general Gilbert-Varshamov bound. In particular, it is tight for the  $[7, 4, 3]_2$  Hamming code.

*A*: Consider  $\mathbb{F}_2^{n-k}$  as the set of column vector of length (n-k) over  $\mathbb{F}_2$ . Construct parity check matrix H as follows.

- 1. Begin with  $H = h_1$ , where  $h_1$  is any nonzero vector in  $\mathbb{F}_2^{n-k}$ .
- 2.  $\forall i \geq 2$ , choose  $h_i$  as the vector in  $\mathbb{F}_2^{n-k} \setminus H$  such that  $h_i$  cannot be written as a linear combination of (d-2) or fewer of the vectors in H (recall that  $H = \{h_1, \ldots, h_{i-1}\}$ ).
- 3. Set  $H \leftarrow H \cup \{h_i\}$ .
- 4. Repeat step (2) until n column vectors are constructed (i.e. |H| = n).

Now, we show that the matrix H composed by the column vectors  $\{h_1, \ldots, h_n\}$  is the PCM of an  $[n, k, d]_2$ -linear code.

In the end of the procedure, we have matrix H of size  $(n - k) \times n$ , and every subset of (d - 1) vectors of  $\{h_1, \ldots, h_n\}$  are linearly independent. Moreover, H is a full-rank matrix, i.e. dim(H) = n - k.

So we can construct an  $[n, k, d]_2$ -linear code by taking the generator matrix G = kernel(H) which is of size  $k \times n$ , and dim(G) = k, and defining  $C = x \cdot G$ , with x is taken over  $\mathbb{F}_2^k$ .

Since any subset of (d-1) column vectors of H are linearly independent, then we know that the minimum distance of C is d. So, C is an  $[n, k, d]_2$ -linear code.

Now we show that H can be constructed if:

$$2^{n-k} > 1 + \binom{n-1}{1} + \dots + \binom{n-1}{d-2}$$
(2)

Assume that by running the algorithm we have found vectors  $\{h_1, \ldots, h_j\}$  with  $1 \le j \le n-1$ . The number of different linear combinations of (d-2) of fewer of the set  $\{h_1, \ldots, h_j\}$  is:

$$\sum_{i=0}^{d-2} \binom{j}{i} \le \sum_{i=0}^{d-2} \binom{n-1}{i} = \binom{n-1}{1} + \dots + \binom{n-1}{d-2}$$

So if the inequality 2 holds, we know that there is a vector  $h_{j+1} \in \mathbb{F}_2^{n-k}$  which is not a linear combination of (d-2) or fewer vectors of  $\{h_1, \ldots, h_j\}$  (i.e.  $h_{j+1}$  is independent of  $\{h_{i_1}, \ldots, h_{i_k}\}$ ;  $k \leq d-2$ ).

Thus, by induction on j, we can conclude that we can obtain  $\{h_1, \ldots, h_n\}$ .

For the particular case of  $[7, 4, 3]_2$ -Hamming code, we have  $2^{7-4} > 1 + \binom{7-1}{1}$  (so, we can use the algorithm to get its PCM).

3. A well-studied family of codes is called cyclic codes. Their defining property is that if  $(c_0, \ldots, c_{n-1}) \in C$  then  $(c_{n-1}, c_0, \ldots, c_{n-2}) \in C$ . Show that if  $\beta$  is a generator of  $\mathbb{F}_q^*$  and  $\alpha_i = \beta^{i-1}$  with n = q - 1, then the  $[n, k]_q$  Reed-Solomon code is cyclic.

A: Since  $\beta$  is the generator of  $\mathbb{F}_q^*$ ,  $\{1, 2, \dots, q-1\} = \{1, \beta^1, \beta^2, \dots, \beta^{q-2}\}$ . Moreover,  $\beta^{q-1} = \beta^0 = 1$ , and in general  $\beta^i = \beta^i + k(q-1)$ ;  $k \in \mathbb{Z}$ .

To prove that  $C = [n, k]_q$  R-S is cyclic, we need to show that:

$$\forall (c_0, c_1, \dots, c_{n-1}) \in C, \text{ then } (c_{n-1}, c_0, \dots, c_{n-2}) \in C$$

Indeed:  $\forall (c_0, c_1, \dots, c_{n-1}) \in C$ , we can write it as:

$$(c_0, c_1, \dots, c_{n-1}) = (f_m(\alpha_1), \dots, f_m(\alpha_n))$$
  
=  $(f_m(\beta^0), \dots, f_m(\beta^{n-1}))$ 

where  $f_m(x) = \sum_{j=0}^{k-1} m_j x^j$ ,  $\forall x \in \{\beta^0, \dots, \beta^{n-1}\}$  for some  $m = (m_0, \dots, m_{k-1}) \in \mathbb{F}_q^k$ .

Then, showing  $(c_{n-1}, c_0, \ldots, c_{n-2}) \in C$  is equivalent to showing that:

$$(c_{n-1}, c_0, \dots, c_{n-2}) = (f_{m'}(\beta^0), f_{m'}(\beta^1), \dots, f_{m'}(\beta^{n-1}))$$

for some  $m' = (m'_0, \ldots, m'_{k-1}) \in \mathbb{F}_q^k$ .

Consider  $m' = (m'_0, ..., m'_{k-1})$  where  $\forall j \in \{0, 1, ..., k-1\}$ ,  $m'_j = m_j \cdot \beta^{-j}$ . Clearly,  $m' \in \mathbb{F}_q^k$ . Then,  $\forall i \in \{1, 2, ..., n\}$ , we have:

$$f_{m'}(\beta^i) = \sum_{j=0}^{k-1} m'_j(\beta^i)^j = \sum_{j=0}^{k-1} m_j \cdot \beta^{-j} \cdot (\beta^i)^j = m_j(\beta^{i-1})^j = f_m(\beta^{i-1})^j$$

and  $f_{m'}(\beta^0) = f_{m'}(\beta^{q-1}) = f_{m'}(\beta^n) = f_m(\beta^{n-1}).$ 

Therefore,

$$(c_{n-1}, c_0, \dots, c_{n-2}) = (f_m(\beta^{n-1}), f_m(\beta^0), \dots, f_m(\beta^{n-2}))$$
$$= (f_{m'}(\beta^0), f_{m'}(\beta^1), \dots, f_{m'}(\beta^{n-1}))$$

So,  $(c_{n-1}, c_0, \ldots, c_{n-2}) \in C$ , hence C is cyclic.

4. The Hadamard code has a nice property that it can be locally decoded. Let  $C_{Had,r} : \{0,1\}^r \to \{0,1\}^{2^r}$  be the encoding function of the Hadamard code. Suppose you are interested only in the *i*-th bit  $x_i$  of the message  $x \in \{0,1\}^r$ . The challenge is that you only have access to  $y \in \{0,1\}^{2^r}$  such that  $\Delta(C_{Had,r}(x), y) \leq \frac{2^r}{10}$  and you would like to look only at a few bits of y. Show that by querying only 2 well-chosen positions (the choice will involve some randomization) of y, you can determine  $x_i$  correctly with probability 4/5 (the probability here is over the choice of the queries, in particular x, y and i are fixed).

*Hint:* You might want to query y at the position labelled by  $u \in \{0, 1\}^r$  at random and the position  $u + e_i$  where  $e_i \in \{0, 1\}^r$  is the binary representation of i

A: We will query  $y_u$  and  $y_{u+e_i}$ , where  $y_u$  and  $y_{u+e_i}$  is the bit of y corresponds to the decimal value of u and  $u+e_i$  respectively, with u is chosen randomly over  $\{0,1\}^r$  and  $e_i = (0 \dots 010 \dots 0)$  (with 1 at the *i*-th position).

Note that every k-th bit of  $C_{Had,r}(x)$  corresponds to one of  $k \in \{0,1\}^r$  and the message x, i.e. we can write:

$$C_{Had,r}(x)_k = x \odot k$$

with  $x \odot k = (\sum_{i=1}^r x_i \cdot k_i) \pmod{2}$ .

Now notice that:

$$(x \odot u) + (x \odot (u + e_i)) \equiv (x \odot u) + (x \odot u) + (x \odot e_i)$$
$$\equiv (x \odot e_i) (mod \ 2)$$
$$\equiv x_i$$

So we can determine  $x_i$  correctly if and only if we can determine both  $(x \odot u)$  and  $(x \odot (u + e_i))$  correctly.

Note that u is picked randomly (also uniformly) from the set  $\{0,1\}^r$ . Then, since we have:  $\Delta(C_{Had,r}(x), y) \leq \frac{2^r}{10}$ , we know that:

$$\mathbb{P}(x \odot u \text{ is wrong}) = \mathbb{P}(x \odot (u + e_i) \text{ is wrong}) \le \frac{1}{10}$$

Therefore:

$$\mathbb{P}(x_i \text{ is correct}) = 1 - \mathbb{P}(x \odot u \text{ is wrong or } x \odot (u + e_i) \text{ is wrong})$$
  

$$\geq 1 - (\mathbb{P}(x \odot u \text{ is wrong}) + \mathbb{P}(x \odot (u + e_i) \text{ is wrong}))$$
  

$$\geq 1 - (\frac{1}{10} + \frac{1}{10})$$
  

$$= \frac{4}{5}$$

So,  $\mathbb{P}(we \ can \ determine \ x_i \ correctly) \geq \frac{4}{5}$ .