

02 - Computational Complexity Analysis

[KOMS119602] & [KOMS120403]

Design and Analysis of Algorithm (2021/2022)

Dewi Sintiar

Prodi S1 Ilmu Komputer
Universitas Pendidikan Ganesha

Week 14-18 February 2022

Table of contents

- Review of gcd algorithms
- Computational complexity model
- Asymptotic notation and order of magnitude
- Big-O notation: asymptotic upper bound
 - Definition
 - Linear & polynomial functions
 - Arithmetic operations in \mathcal{O}
 - Logarithmic function
 - Classification of algorithms
 - Determining asymptotic complexity
- Big-Omega notation
- Big-Theta notation
- Quiz

Euclidean algorithm to compute gcd (1)

From last week...

Computing gcd:

- Input: two integers a and b
- Output: the greatest common divisor of m and n

Algorithm 1 Naive gcd algorithm of two integers

```
1: procedure GCD( $a, b$ )
2:    $r = 1$ 
3:    $x = \min(a, b)$ 
4:   for  $i = 1$  to  $x$  do
5:     if  $a \bmod i == 0$  and  $b \bmod i == 0$  then  $r = i$ 
6:     end if
7:   end for
8: end procedure
```

Complexity? homework!

Euclidean algorithm to compute gcd (2)

Example

Using the Euclidean algorithm, find the gcd of 210 and 45.

Solution:

$$210 = 4 \cdot 45 + 30$$

$$45 = 1 \cdot 30 + 15$$

$$30 = 2 \cdot 15 + 0$$

So $\text{gcd}(210, 45) = 15$

Euclidean algorithm to compute gcd (3)

Algorithm 2 Euclidean algorithm

```
1: procedure EUCLIDGCD( $a, b$ )
2:   while  $b \neq 0$  do
3:      $r = a \bmod b$ 
4:      $a = b$ 
5:      $b = r$ 
6:   end while
7:   return  $a$ 
8: end procedure
```

Why does it terminate?

Complexity? homework!

Computational complexity model (1)

Can you recall what is **complexity** of an algorithm,
and why should we study it?

Computational complexity model (2)

A part of *algorithm analysis* is computing the *computational complexity* of an algorithm.

The **computational complexity** or simply **complexity** of an algorithm is the amount of resources (*time* and *memory*) required to run it.

- **Time efficiency**: how fast an algorithm is executed
- **Space efficiency**: how much memory needed to execute an algorithm

How do we compute the complexity of an algorithm?

Computational complexity model (3)

Example

Let a supercomputer execute an algorithm A, and a PC execute an algorithm B. Both computers have to sort an array of 1 million elements. The supercomputer can execute 100 million instructions in one second, while the PC is only able to execute 1 million instructions in one second.

Algorithm A needs $2n^2$ instructions to sort n elements, and algorithm B needs $50n \log n$ instructions. Compute the amount of time to sort 1 million elements in each computer!

Computational complexity model (4)

Solution:

- Supercomputer: $\frac{2 \cdot (10^6)^2 \text{ instructions}}{10^8 \text{ instructions / sec}} = 20000 \text{ sec} \approx 5.56 \text{ hours}$
- PC: $\frac{50 \cdot 10^6 \log 10^6 \text{ instructions}}{10^6 \text{ instructions / sec}} \approx 1000 \text{ sec} \approx 16.67 \text{ minutes}$

Remark. So, the number of executions matters!

What affects computational complexity?

Time (and space) complexity depends on lots of things like *hardware, OS, processors, programming language and compiler*, etc. But we don't consider any of these factors when analyzing the algorithm.

Remarks:

- Our focus on this subject will be on **time complexity**.
- We assume that our machine uses only one processor (i.e. *generic one-processor*).
- Time complexity is computed based on **the number of operations/instructions**
- The running time of an algorithm increases (or remains constant in case of constant running time) as the input size (n) increases.

Algorithm 3 Average of an array of integers

```
1: procedure AVERAGE( $A[1..n]$ )
2:   sum  $\leftarrow$  0
3:   for  $i = 1$  to  $n$  do
4:     sum  $\leftarrow$  sum +  $A[i]$ 
5:   end for
6:   avg  $\leftarrow$  sum/ $n$ 
7: end procedure
```

The number of operations:

- Assignment: lines 2, 4, 6; with $1 + n + 1 = n + 2$ operations
- Summation: line 4, with n operations
- Division: line 6, with 1 operation

Complexity: $T(n) = (n + 2) + n = 2n + 2$ operations.

Computational complexity model (7)

Three measurements of resource usage:

- **Worst-case** ($T_{\max}(n)$): it measures the resources (e.g. running time, memory) that an algorithm requires in the **worst case** i.e. **most difficult case**, given an input of arbitrary size n (usually denoted in asymptotic notation).
- **Best-case** ($T_{\min}(n)$): describe an algorithm's behavior under **optimal conditions**.
- **Average-case** ($T_{\text{avg}}(n)$): the amount of computational time used by the algorithm, **averaged over all possible inputs**.

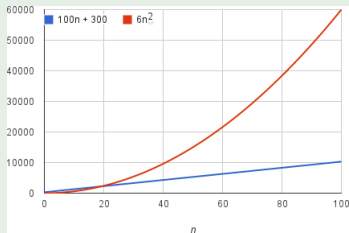
Asymptotic notation and order of magnitude (1)

- The running time of an algorithm is measured as a *function of the size of its input*.
- **Rate of growth** of the running time measures how fast a function grows with the input size. **Asymptotically** means the function matters *only for large values of n* .
- The **order of magnitude** function describes the part of the function that increases the fastest as the value of n increases.

Asymptotic notation and order of magnitude (2)

Example

Suppose that an algorithm, running on an input of size n , takes $6n^2 + 100n + 300$.



We only keep the **most significant term**. We say that the function $6n^2$ has a higher order of magnitude than $100n + 300$.

\mathcal{O} notation (1): Asymptotic upper-bound

Worst-case complexity measures the resources an algorithm needs in the *worst-case*. It gives an **upper bound** on the resources required by the algorithm.

Why learn worst-case complexity?

- provides information about the maximum resource requirements
- naturally, it often happens in a system

\mathcal{O} notation (2): Asymptotic upper-bound

Big-O ($\mathcal{O}(\cdot)$) notation: a mathematical notation that describes the limiting behavior of a function when the argument tends towards a particular value or infinity.

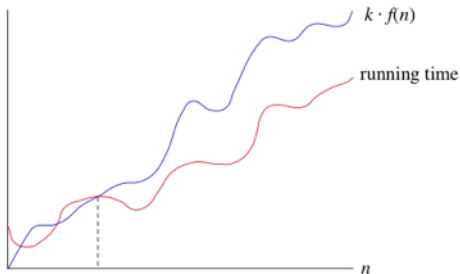
Definition

$g(n) \in \mathcal{O}(f(n))$ if $\exists k > 0$ and n_0 s.t. $g(n) \leq k \cdot f(n)$, $\forall n \geq n_0$.

\mathcal{O} notation (3): Asymptotic upper-bound

Definition

$g(n) \in \mathcal{O}(f(n))$ if $\exists k > 0$ and n_0 s.t. $g(n) \leq k \cdot f(n)$, $\forall n \geq n_0$.



\mathcal{O} notation (4): Linear and polynomial functions

Example

Show that $g(n) = 5n + 3$ is in $\mathcal{O}(n)$.

Example

Show that $g(n) = 5n + 3$ is in $\mathcal{O}(n)$.

Solution:

Note that $5n + 3 \leq 5n + 3n = 8n$ for all $n \geq 1$. In this case, $k = 8$ and $n_0 = 1$. So, $g(n) \in \mathcal{O}(n)$.

Example

Show that $g(n) = 3n^2 - 5n + 6$ is in $\mathcal{O}(n^2)$.

Solution:

Note that $3n^2 - 5n + 6 \leq 3n^2 + 0 + 6n^2 = 9n^2$ for all $n \geq 1$. In this case, $k = 9$ and $n_0 = 1$. So, $g(n) \in \mathcal{O}(n^2)$.

\mathcal{O} notation (7): Arithmetic operations in \mathcal{O}

We denote by $T(n)$ a function of time complexity.

Theorem (Big-O of a polynomial complexity)

If $T(n) = a_m n^m + a_{m-1} n^{m-1} + \dots + a_1 n + a_0$ is a polynomial of order m , then $T(n) \in \mathcal{O}(n^m)$.

Theorem (Arithmetic operations on Big-O)

Let $T_1(n) \in \mathcal{O}(f(n))$ and $T_2(n) \in \mathcal{O}(g(n))$, then:

- 1 $T_1(n) + T_2(n) \in \mathcal{O}(f(n)) + \mathcal{O}(g(n)) \in \mathcal{O}(\max(f(n), g(n)))$
- 2 $T_1(n)T_2(n) \in \mathcal{O}(f(n))\mathcal{O}(g(n)) \in \mathcal{O}(f(n)g(n))$
- 3 $\mathcal{O}(cf(n)) \in \mathcal{O}(f(n))$, where c is a constant
- 4 $f(n) \in \mathcal{O}(f(n))$

Proof: homework!

Example (Arithmetic operations on Big-O)

- ① Let $T_1(n) \in \mathcal{O}(n)$ and $T_2(n) \in \mathcal{O}(n^2)$, then:

$$T_1(n) + T_2(n) \in \mathcal{O}(\max(n, n^2)) \in \mathcal{O}(n^2)$$

- ② Let $T_1(n) \in \mathcal{O}(n)$ and $T_2(n) \in \mathcal{O}(n^2)$, then:

$$T_1(n)T_2(n) \in \mathcal{O}(n \cdot n^2) = \mathcal{O}(n^3)$$

- ③ $\mathcal{O}(5n^2) \in \mathcal{O}(n^2)$

- ④ $n^2 \in \mathcal{O}(n^2)$

Review logarithms and exponents

$$\log_b a = c \Leftrightarrow b^c = a$$

- $a > 0$ is the power
- $b > 0$ is the base
- c is the exponent

Remark. If the base $b = 2$, then it is called **binary logarithm**. The base is often omitted.

\mathcal{O} notation (10): Logarithmic function

In Computer Science, we usually use **base-two** logarithm complexity by default. Why?

\mathcal{O} notation (10): Logarithmic function

In Computer Science, we usually use **base-two** logarithm complexity by default. Why?

- It is common to work with binary numbers or divide input data in half
- In Big-O notation (upper bound growth), all logarithms are *asymptotically equivalent* (the only difference is there multiplicative constant factor)
- So, we do not specify the base, and only write it as $\mathcal{O}(\log n)$

Some properties of logarithmic function

- $\log_b 1 = 0$ for any $b \geq 0$
- **Change of bases:** $\log_b a = \frac{\log_p a}{\log_p b}$
- **Addition:** $\log_p m + \log_p n = \log_p mn$
- **Subtraction:** $\log_p m - \log_p n = \log_p \frac{m}{n}$
- **Power:** $\log_p a^x = x \cdot \log_p a$
- **Inverse:** $\log_p \frac{1}{a} = -\log_p a$
- Many others...

\mathcal{O} notation (12): Logarithmic function

Example

Show that $g(n) = (n + 3) \log(n^2 + 1) + 2n^2$ is in $\mathcal{O}(n^2)$

Solution:

Note that:

$$\log(n^2 + 1) \leq \log(2n^2) = \log 2 + \log n^2 \leq 2 \log n^2 = 4 \log n.$$

So, $\log(n^2 + 1) \in \mathcal{O}(\log n)$.

Since $n + 3 \in \mathcal{O}(n)$, then

$$(n + 3) \log(n^2 + 1) \in \mathcal{O}(n) \cdot \mathcal{O}(\log n) \in \mathcal{O}(n \log n).$$

Since $2n^2 \in \mathcal{O}(n^2)$, and $\max(n \log n, n^2) = n^2$, then $g(n) \in \mathcal{O}(n^2)$.

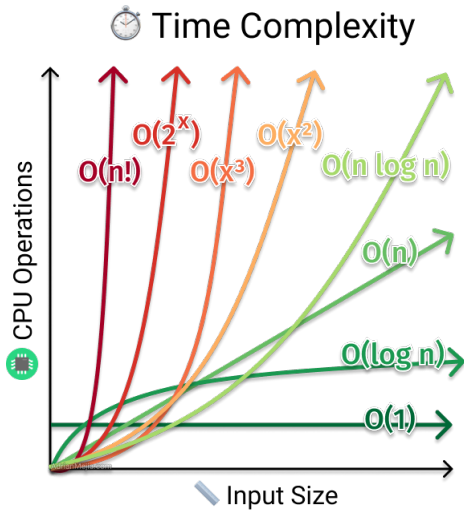
\mathcal{O} notation (13): Classification of algorithms

The classification of algorithms based on the worst-time complexity

Complexity	Class
$\mathcal{O}(1)$	constant
$\mathcal{O}(\log n)$	logarithmic
$\mathcal{O}(n)$	linear
$\mathcal{O}(n \log n)$	quasilinear / linearithmic
$\mathcal{O}(n^2)$	square
$\mathcal{O}(n^3)$	cubic
$\mathcal{O}(n^k), k \geq 2$	polynomial
$\mathcal{O}(2^n)$	exponential
$\mathcal{O}(n!)$	factorial

$$\underbrace{\mathcal{O}(1) < \mathcal{O}(\log n) < \mathcal{O}(n) < \mathcal{O}(n \log n) < \mathcal{O}(n^2) < \mathcal{O}(n^3) < \dots < \mathcal{O}(2^n)}_{\text{polynomial algorithms}} < \underbrace{\mathcal{O}(n!) }_{\text{exponential algorithms}}$$

\mathcal{O} notation (14): Classification of algorithms



\mathcal{O} notation (13): Classification of algorithms

- 1 **Assignment of values** (*comparison, arithmetic operations, read, write*) needs $\mathcal{O}(1)$
- 2 **Accessing** an element of an array, or selecting a field from a record needs $\mathcal{O}(1)$

Example

- $\text{read}(x) \rightarrow \mathcal{O}(1)$
- $x : x + a[k] \rightarrow \mathcal{O}(1)$
- $\text{print}(x) \rightarrow \mathcal{O}(1)$

- ③ **If-Else condition:** IF C THEN A1 ELSE A2 needs time:
 $T_C + \max(T_{O1}, T_{O2})$

Example

```
1: read(x)
2: if x mod 2 = 0 then
3:   x := x + 1
4:   print("Even")
5: else
6:   print("Odd")
7: end if
```

Asymptotic TC: $\mathcal{O}(1) + \mathcal{O}(1) + \max(\mathcal{O}(1) + \mathcal{O}(1), \mathcal{O}(1)) \in \mathcal{O}(1)$

- 4 **For loop:** the time complexity is the number of iterations multiplied with the time complexity of the *body loop* (i.e. *loop statements*)

Example (Single for loop)

```
1: for  $i = 1$  to  $n$  do  
2:   sum := sum + a[1]  
3: end for
```

Asymptotic TC: $n \cdot \mathcal{O}(1) = \mathcal{O}(n)$

Example (Two nested for loops with one instruction)

```
1: for  $i = 1$  to  $n$  do  
2:   for  $j = 1$  to  $n$  do  
3:      $a[i, j] := i + j$   
4:   end for  
5: end for
```

Asymptotic TC: $n \cdot \mathcal{O}(n) = \mathcal{O}(n^2)$

Example (Two nested for loops with two instructions)

```
1: for  $i = 1$  to  $n$  do  
2:   for  $j = 1$  to  $i$  do  
3:      $a := a + 1$   
4:      $b := b - 1$   
5:   end for  
6: end for
```

The outer loop is executed n times, and the inner loop is executed i times for each j . The number of iterations: $1 + 2 + \dots + n = \frac{n(n+1)}{2} \in \mathcal{O}(n^2)$.

The body loop needs $\mathcal{O}(1)$ -time.

Asymptotic time complexity: $\mathcal{O}(n^2)$

\mathcal{O} notation (18): Determining asymptotic complexity

- 5 **While loop:** WHILE C DO A; and REPEAT A UNTIL C.
Time complexity = # iterations $\times T_{\text{body}}$

Example (Single loop with $n - 1$ iterations)

```
1:  $i := 2$   
2: while  $i \leq n$  do  
3:   sum := sum +  $a[i]$   
4:    $i := i + 1$   
5: end while
```

Asymptotic TC:

$$\mathcal{O}(1) + (n - 1)(\mathcal{O}(1) + \mathcal{O}(1) + \mathcal{O}(1)) = \mathcal{O}(1) + \mathcal{O}(n - 1) \in \mathcal{O}(n)$$

Example (Infinite loop)

```
1:  $x := 0$   
2: while  $x < 5$  do  
3:    $x := 1$   
4:    $x := x + 1$   
5: end while
```

In this situation, x will never be greater than 5, since at the start of the while loop, x is given the value of 1, thus, the loop will always end in 2 and the loop will never break.

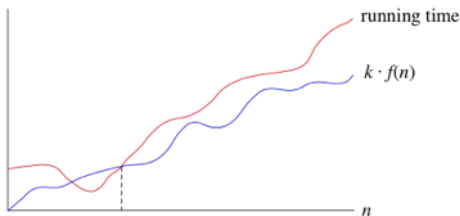
Ω notation: Asymptotic lower-bound

We can also say that an algorithm takes *at least a certain amount of time*, without providing an upper bound.

Big-Omega ($\Omega(\cdot)$) notation

Definition

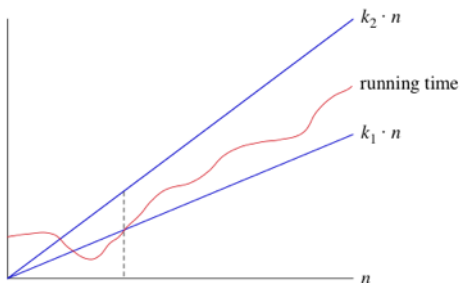
$g(n) \in \Omega(f(n))$ if $\exists k > 0$ and n_0 s.t. $g(n) \geq k \cdot f(n)$, $\forall n \geq n_0$.



Θ notation: Asymptotically tight-bound

Definition

$g(n) \in \Theta(f(n))$ if $\exists k_1, k_2 > 0$ and n_0 s.t.
 $k_1 \cdot f(n) \leq g(n) \leq k_2 \cdot f(n), \forall n \geq n_0$.



QUIZ

Exc 1: Growth of function in $\mathcal{O}(1)$

Which kind of growth best characterizes each of these functions?

	Constant	Linear	Polynomial	Exponential
$(3/2)^n$				
1				
$(3/2)n$				
$2n^3$				
2^n				
$3n^2$				
1000				
$3n$				

Exc 1: Growth of function in \mathcal{O} (2)

Which kind of growth best characterizes each of these functions?

	Constant	Linear	Polynomial	Exponential
$(3/2)^n$				✓
1	✓			
$(3/2)^n$		✓		
$2n^3$			✓	
2^n				✓
$3n^2$			✓	
1000	✓			
$3n$		✓		

Exc 2: Comparing function growth (1)

Match each function with an equivalent function that satisfies $g(n) = \Theta(f(n))$.

$g(n)$	$f(n)$
$n + 30$	$n^2 + 3n$
$n^2 + 2n - 10$	n^4
$n^3 * 3n$	$\log_2 2x$
$\log_2 x$	$3n - 1$

Exc 2: Comparing function growth (2)

Recall that $g(n) \in \Theta(f(n))$ if $\exists k_1, k_2 > 0$ s.t. for all sufficiently large n , we have

$$k_1 \cdot f(n) \leq g(n) \leq k_2 \cdot f(n)$$

We drop the constants and lower order terms (i.e. only keep the most significant term).

$g(n)$	simplified	$f(n)$	simplified
$n + 30$	n	$n^2 + 3n$	n^2
$n^2 + 2n - 10$	n^2	n^4	n^4
$n^3 * 3n$	n^4	$\log_2 2x$	$\log x$
$\log_2 x$	$\log x$	$3n - 1$	n

Two functions match if the corresponding simplified functions are equal.

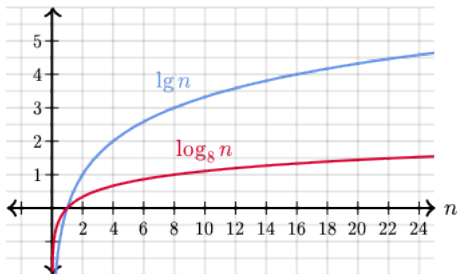
Exc 3: Asymptotic notation (1)

For the functions $\log_2 n$ and $\log_8 n$, what is the asymptotic relationship between these functions?

- $\log_2 n$ is in $\mathcal{O}(\log_8 n)$
- $\log_2 n$ is in $\Omega(\log_8 n)$
- $\log_2 n$ is in $\Theta(\log_8 n)$

Exc 3: Asymptotic notation (2)

Both $\log_2 n$ and $\log_8 n$ are functions with logarithmic growth, with their base as the only difference.



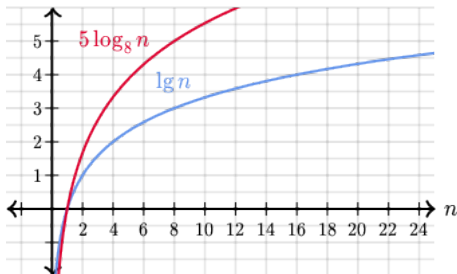
Exc 3: Asymptotic notation (3)

- Is $\log_2 n$ in $\mathcal{O}(\log_8 n)$?

Recall that $\log_a n = \frac{\log_b n}{\log_b a}$.

So, $\log_8 n = \frac{\log_2 n}{\log_2 8} = \frac{\log_2 n}{3} = \frac{1}{3} \cdot \log_2 n$.

We can take $k = 5$, so that: $\log_2 n \leq 5 \log_8 n$.



Exc 3: Asymptotic notation (4)

- Is $\log_2 n$ in $\Omega(\log_8 n)$?

Since $\log_8 n = \frac{1}{3} \cdot \log_2 n$, then $\log_2 n \geq \log_8 n$ for all $n \geq 1$.

So, $\log_2 n \in \Omega(\log_8 n)$

Exc 3: Asymptotic notation (5)

- Is $\log_2 n$ in $\Theta(\log_8 n)$?

Clearly, $\log_8 n \leq \log_2 n \leq 5 \cdot \log_8 n$ for all $n > 1$.

So, $\log_2 n \in \Theta(\log_8 n)$.

